



ControlReports

RISK MANAGEMENT & COMPLIANCE SOLUTIONS



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ControlReports

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01

Structuring Risk Management

ControlReports is a Cloud Risk Reporting Solution that supports the development of risk control frameworks for enterprises. ControlReports is an agile, comprehensive and efficient tool for enterprises to be compliant with ISAE 3402 (SOC 1), ISAE 3000 (SOC 2), ISO 27001 or ISO 9001. ControlReports is based on the latest standards for risk management and information security.

Professional reports

ControlReports provides the benefits of in-house risk management- and compliance experts in a single online tool. ControlReports is more efficient than hiring external risk consultants to advice on the implementation compliance standards. The drafted policies, Service Organisation Control (SOC™) reports are prepared with ControlReports in compliance with the highest standards.

Best practice database

The ControlReports frameworks and document templates are based on robust- and international best practices for risk management, information security and quality management. ControlReports is deployed in the Cloud in ISAE 3000 and ISO 27001 secured data centres. All information processed in ControlReports is secured by SSL certificates and subject to confidentiality requirements of the Internal Federation of Accountants (IFAC).

The core of ControlReports is an extensive database based on more than 20 years of in-depth experience in the risk advisory industry of Risklane advisors. Risklane employs professional Certified Public Accountants and Information Security Experts and is the leading risk advisory firm in Europe in this field.

Step-by-step

ControlReports supports the design of a risk management framework, preparation of required documentation and implementation of controls using a structured, comprehensible *step-by-step* approach. ControlReports follows a structured workflow based on guiding questions, instructions and illustrative examples.

Structured workflow

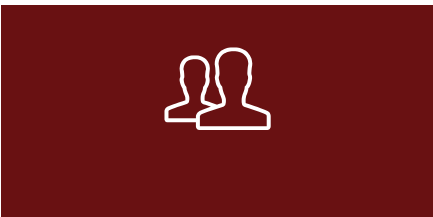
ControlReports assists in the process of preparing company policies, analysing risks and designing individual controls. The structured workflow consists of a *step-by-step* approach with guidance for drafting policies, providing examples and illustrations to understand the requirements and analyse processes effectively.

The *step-by-step* approach is in accordance with the structure of compliance standards; the management phase, the risk framework phase and the reporting phase resulting in the required documentation for each standard, in compliance with the *best practices* of these standards.

With ControlReports you have a proven solution in the marketplace for providing professional, easy-to-implement, risk frameworks, structured procedures, resulting in effective risk and quality management.



ControlReports provides a comprehensible and structured approach for the design, preparation and implementation of a risk management or compliance framework with significant cost savings compared to external consultants.



Who we are

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Risklane is a spin-off of a Big Four consultancy/audit firm with an international focus and is based in the Netherlands. Our clients include large international corporates and cutting edge start-ups in the tech industry.

Our enterprise focus combined with a start-up mentality results in disruptive solutions for the investment management, managed services and data centre industry.



What we do

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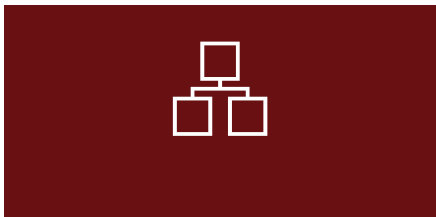
Risklane provides effective solutions for risk management and compliance.

ISAE 3402 (SOC 1) implementation

ISAE 3000 (SOC 2) implementation

ISO 27001 implementation

ISO 9001 implementation



Our philosophy

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We believe in the power of simplicity. Provide oversight, focus on result and continuous development of our staff and clients. Employees are selected on industry experience, enthusiasm and eagerness to strive for the best.

Combining knowledge of laws and regulations with in depth industry experience, IT knowledge and financials skills provides our clients opportunities for accelerated growth.



ControlReports is used by managed services and SaaS providers, data centres, property managers and tech start-ups for the practical and efficient implementation of a risk framework in compliance with the highest standards and leading industry *best practices*.



Industry best practice

ControlReports provides templates for industries ranging from investment management to property management, SaaS, IaaS, PaaS and data centres.



User-friendly

ControlReports provides a user-friendly interface, providing examples for controls, guidelines and a comprehensive process flow.



748 best practices

Industry *best practices* form the basis of the ControlReports database.

Effective Risk Control



Professional & accurate

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ControlReports templates are reviewed and updated by ISAE 3402 (SOC 1)/ ISAE 3000 (SOC 2)/ ISO 27001 and ISO 9001 consultants and auditors each month.



Knowledge & expertise

The core of ControlReports is a database comprising of more than 700 reports and documents of enterprises in a large number of industries. Templates are updated based on the most recent frameworks for risk management, IT governance, fraud prevention and quality control.



Secure

All client data is stored in tier-3 ISO 27001 and ISAE 3000 compliant data centres in London, Amsterdam and New York.

02

Professional Approach



Management

Planning, Scoping and Policy

The scope of the report is commonly defined and determined by management. The scope typically consists of financial controls, operational controls and the General IT Controls of the organisation. Based on this scope, policies are developed for risk management, information security and quality management, which form the basis for the analysis of risks and design of the risk management framework.

Risk Framework

Analysis and Design

To design the risk management framework, templates, illustrations and guidelines are provided. The templates are based on *best practices* and are in accordance with either the COSO Framework, ISO 31000 or CoBiT guidelines. *Best practices* are based on the ControlReports database which includes industries' *best practices* and in depth knowledge of industries best risk advisors.



Reporting

Implementation, Readiness and Completion

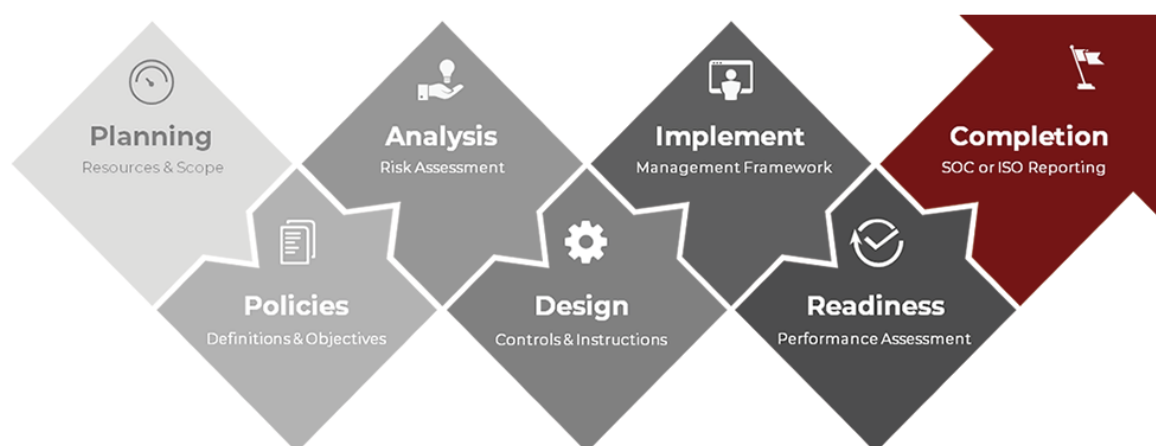
The prepared control framework forms the basis for the implementation of individual controls and monitoring of the Control Framework in an organisation. Risklane provides additional services for readiness assessments to identify possible issues prior to the audit phase. After the processing of any findings as a result of the readiness assessment in ControlReports, the final draft is prepared for the external audit.



A step-by-step approach to risk management; professional, efficient and comprehensive. ControlReports works with demand-driven templates, in which process descriptions and control measures can be described and adapted to the business.

03

Step-by-step Guidance



01 Planning and scope

A compliance and risk management project team typically consists of an executive, project manager and project owner. Resources are planned, milestones are identified and communicated to all team members. In ControlReports a questionnaire is included to define and describe the scope of the risk control- or compliance framework.



02 Policies

ControlReports provides guidelines with detailed requirements for company policies. A company policy typically includes definitions, objectives and scope of the management framework. The policy is the basis of the management framework and includes roles and responsibilities of management and officers involved with risk management and compliance.



03 Risk Analysis

Events that endanger corporate objectives are identified including the impact and probability of these events. ControlReports provides a *step-by-step* approach to identify internal operational risks and external risks. Based on the resulting risk analysis, management determines the risk attitude per risk or risk category.



04

Design

The elements of the control framework are based on the requirements of the standard. The ControlReports templates for the design of individual controls are based on guidelines of the International Federation of Accountants (IFAC), ISO guidelines and industries *best practices*. ControlReports provides illustrative examples and explanations of the requirements of standards to draft the control framework and describe individual controls.



05

Implementation

The designed framework is adjusted to risks identified in the risk analysis and implemented in the organisation. The control framework typically includes a set of monitoring controls, application controls and General IT Controls, depending on the type of organisation and scope of the management framework..



06

Readiness assessment

The effectiveness of the implementation is investigated in a *readiness assessment*. An independent internal officer or Risklane expert reviews the draft management framework/report provided by ControlReports and performs audits to identify possible issues prior to the final assurance audit or certification audit.



07

Completion

The effectiveness of the design of the framework, existence, auditability and operational effectiveness were tested during diligent audit procedures. Identified issues are reported to management and are followed up by redressing procedures. Adjusted policies, procedures and controls are processed in ControlReports, resulting in a final draft of required documentation and the Control Report. You are then in control and compliant with the highest professional standards!



04

Efficient Cost Control



License

ControlReports is sold as a suite for the preparation of a single ISAE 3402 (SOC 1), ISAE 3000 (SOC 2), ISO 27001 or ISO 9001 framework. Licences are offered for the use of a standard specific template for one implementation only.

ISAE 3402 (SOC 1)	€ 2.270
ISAE 3000 (SOC 2)	€ 2.320
ISO 27001	€ 2.560
ISO 9001	€ 2.130



Consultancy

The state of the art report and document templates contain all required elements. Our in depth industry knowledge combined with professionalism and practical experience has put us in the leading position in the risk advisory industry. Our consultants are available for your assistance at the following rates.

Preparation report	€ 820
Excellence Consultant	€ 145 p/h
Readiness assesment	€ 125 p/h



Risk Sourcing

For the preparation of Service Organisation Control reports, ISMS and implementation guidance, Risklane provides professional risk managers with extensive experience in your industry.

Junior risk manager	€ 125 p/h
Senior risk manager	€ 145 p/h
Project leader (manager)	€ 165 p/h



ControlReports maximises professionalism at the fraction of the costs of external risk advisors. A comprehensive approach supporting preparation of policies, risk analyses and the complete design of a management framework.

*All rates are ex. 5% out-of-pocket expenses (excluding licenses) and VAT.

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